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## STEPHANIE THOMPSON-BUTTICE PART 2B ITEM 1 - COVER PAGE ADV PART 2 B BROCHURE

This supplemental information is about Stephanie Thompson-Buttice, registered investment advisor of Range Financial Group, LLC ("Range Financial Group"). Additional information about Range Financial Group is also available at the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

7307 SW BEVELAND ST, #110 TIGARD, OREGON 97223  
STEPHANIE@RANGEFINANCIALGROUP.COM  
(503) 482-4400  
CRD # 5240480

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### ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

#### **Stephanie Thompson-Buttice**

stephanie@rangefinancialgroup.com

Year Born: 1975

#### **Educational Background:**

MBA, Business – University of Phoenix (2003)

BA, Psychology – Washington State University (1998)

#### **Business Background:**

03/2020 – Present: *Investment Advisor Representative*, Range Financial Group, LLC

08/2016 – 03/2020: *Investment Advisor Representative*, Anderson Fisher LLC dba Human Investing

05/2008 – 09/2010: *Investment Advisor Representative*, Next Financial Group

10/2007 – 05/2008: *Investment Advisor Representative*, The Private Consulting Group

#### **Professional Designations:**

Certified Financial Planner (CFP®)

#### **Explanations of Designations:**

*CFP®: (Certified Financial Planner)*

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the "CFP® marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 71,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board's financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The *Standards* prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

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### **ITEM 3 - DISCIPLINARY INFORMATION**

Stephanie Thompson-Buttice has no legal or disciplinary events that are material to you or a prospective client’s evaluation of this advisory business.

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### **ITEM 4 – OTHER BUSINESS ACTIVITIES**

The principal business of Stephanie Thompson-Buttice is that of an investment advisor representative.

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### **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Range Financial Group and any disclosures made in Items 2 and 4 above, Stephanie Thompson-Buttice receives no additional compensation related to outside business activities.

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### **ITEM 6 - SUPERVISION**

Stephanie Thompson-Buttice is not a supervising authority at Range Financial Group but does work closely with the supervising member of the firm. The supervising member is Eric Dahm; who remains aware of and keeps us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Range Financial Group has established internal policies for the guidance of its trading personnel. Transactions, which vary from the guidelines, are subject to periodic supervisory review. These guidelines are reviewed yearly and periodically adjusted.

Eric R. Dahm is located at 7307 SW Beveland St, #110 Tigard, Oregon 97223 and can be reached by calling (503) 482-4400.

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### **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Other than any disclosures made in Item 3 above, Stephanie Thompson-Buttice has not been found liable in any additional material arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Stephanie Thompson-Buttice has not been the subject of a bankruptcy petition in the past 10 years.