

---

## SCOTT CHRISTOPHER ADLER PART 2B ITEM 1 - COVER PAGE ADV PART 2 B BROCHURE

This supplemental information is about Scott Christopher Adler, registered investment advisor of Range Financial Group, LLC ("Range Financial Group"). Additional information about Range Financial Group is also available at the SEC's website [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

7307 SW BEVELAND ST, #110 TIGARD, OREGON 97223

SCOTT@RANGEFINANCIALGROUP.COM

(503) 482-4400

CRD #3141860

---

### ITEM 2 - EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

#### Scott Christopher Adler

scott@rangefinancialgroup.com

Year Born: 1973

#### Educational Background:

BS, Business – Indiana University (1996)

#### Business Background:

10/2020 – Present: Investment Advisor Representative, Range Financial Group, LLC

10/2018 - 10/2020: Financial Consultant, Fidelity Brokerage Services, LLC

2/2017-9/2018: VP, Wealth Advisor, Wells Fargo Investments

8/2012-2/2017: Co-owner, Heitt Health Corporation

1/2011-8/2012: Registered Representative, Wells Fargo Advisors, LLC

10/2009-8/2012: Wealth Advisor, Wells Fargo Bank

### ITEM 3 - DISCIPLINARY INFORMATION

A disclosure was filed following an "employment separation after allegations" filed by Fidelity Brokerage Services on 10/19/2020. Fidelity alleged Mr. Adler "recorded credit for customer appointments that did not meet firm's definition of an appointment, impacting performance metrics."

Mr. Adler strongly disagrees with the above allegations. The description of the employment separation as "Employment Separation After Allegations" is untrue. In fact, Mr. Adler was never made aware of, nor given an opportunity to respond to, any such allegations prior to his decision to resign. This makes the allegations highly suspect. His resignation was based, not on any threat of termination, but because Fidelity was violating his clients' best interests through a variety of unethical business practices. Mr. Adler complained to management on numerous occasions without any positive results. Consequently, he could not in good conscience continue to work for Fidelity. This was the sole reason for his resignation. The false claims by Fidelity are punitive and mean-spirited in nature. Fidelity clearly intended to damage Mr. Adler's reputation so as to make it more difficult for him to find future employment and earn a living. Making false statements regarding the reasons an employee resigns

violates industry rules. It is also grounds for expungement of the disclosure and may include the award of punitive economic damages. Mr. Adler intends to file for arbitration with the Financial Industry Regulatory Authority to have this matter expunged from his record. Mr. Adler strongly encourages any prospective or current clients that have any concerns regarding this matter to discuss it with him personally.

---

#### **ITEM 4 – OTHER BUSINESS ACTIVITIES**

The principal business of Mr. Adler is that of an investment advisor representative.

He is the co-owner of Heitt Health Corp., Lake Oswego, OR, 97034. This is a non-investment related business which offers healthy vending for schools. Mr. Adler owns 50% of the business while his wife owns the remaining 50%. The business was started in August 2012. He works approximately eight hours a month. No time is spent working during trading hours.

---

#### **ITEM 5 - ADDITIONAL COMPENSATION**

Other than work with Range Financial Group and any disclosures made in Items 2 and 4 above, Mr. Adler receives no additional compensation related to outside business activities.

---

#### **ITEM 6 - SUPERVISION**

Mr. Adler is not a supervising authority at Range Financial Group but does work closely with the supervising member of the firm. The supervising member is Eric Dahm; who remains aware of and keeps us in compliance with the current rules and regulations put forth by each ruling regulatory authority where we conduct our business. Range Financial Group has established internal policies for the guidance of its trading personnel. Transactions, which vary from the guidelines, are subject to periodic supervisory review. These guidelines are reviewed yearly and periodically adjusted.

Eric R. Dahm is located at 7307 SW Beveland St, #110 Tigard, Oregon 97223 and can be reached by calling (503) 482-4400.

---

#### **ITEM 7 - REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

Other than any disclosures made in Item 3 above, Mr. Adler has not been found liable in any additional material arbitration or liable in a civil, self-regulatory organization, or administrative proceeding involving an investment or an investment-related business or activity; fraud, false statement(s), or omissions; theft, embezzlement, or other wrongful taking of property; bribery, forgery, counterfeiting, or extortion; or dishonest, unfair, or unethical practices. Mr. Adler has not been the subject of a bankruptcy petition in the past 10 years.